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M.Sc. Malte Oeljeklaus,  
Essen

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## An Integrated Approach for Traffic Scene Understanding from Monocular Cameras

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VOLUME  
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# **An Integrated Approach for Traffic Scene Understanding from Monocular Cameras**

**Towards Resource-constrained Perception of Environment Representations with Multi-task Convolutional Neural Networks**

DISSERTATION

submitted in partial fulfillment  
of the requirements for the degree

Doktor-Ingenieur  
(Doctor of Engineering)

in the

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at TU Dortmund University

by

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Essen, Germany

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Second examiner: Univ.-Prof. Dr.-Ing. Klaus Dietmayer

Date of approval: May 7, 2021



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This thesis investigates methods for traffic scene perception with monocular cameras for a basic environment model in the context of automated vehicles. The developed approach is designed with special attention to the computational limitations present in practical systems. For this purpose, three different scene representations are investigated. These consist of the prevalent road topology as the global scene context, the drivable road area and the detection and spatial reconstruction of other road users. An approach is developed that allows for the simultaneous perception of all environment representations based on a multi-task convolutional neural network. The obtained results demonstrate the efficiency of the multi-task approach. In particular, the effects of shareable image features for the perception of the individual scene representations were found to improve the computational performance.

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# Nomenclature

$AOS$	average orientation score
$\alpha$	observation angle
$b, \mathbf{b}, \mathbf{B}$	bias scalar, bias vector, bias tensor
$\beta$	position angle
$CS$	cosine similarity
$\text{concat}(\square)$	concatenation operator, stacks tensors along the $u_3$ dimension
$\square_C$	marks the use of camera coordinates in [m]
$\chi$	count of frequent classes according to the 85%-15%-rule
$\mathbf{d} = (d_{x_1}, d_{x_2}, d_{x_3})^\top$	vector of 3D bounding box dimensions and its elements in [m]
$\text{diag}(\square)$	diagonal matrix
$\mathcal{E}$	local environment in image space, receptive field
$f$	focal length of the camera system
$F1$	F1-score, harmonic mean of precision and recall
$FP$	number of false positive samples
$FN$	number of false negative samples
$\phi$	roll angle
$\varphi_{BP}(\square)$	camera backprojection-line in parametric form
$\varphi_a(\square)$	nonlinear activation function
$\varphi_s(\square)$	softmax function
$\gamma$	learning rate for gradient descent optimization
$gMA$	moving average of the squared gradients
$h, \mathbf{h}, \mathbf{H}$	feature scalar, feature vector, feature tensor (feature map)
$\mathbf{H}^\diamond$	feature map with additional rows and columns of zeros
$\mathcal{H}_{Rec}$	set of features relevant for the topology recognition task
$\mathcal{H}_{Seg}$	set of features relevant for the road segmentation task
$\mathcal{H}_{Det}$	set of features relevant for the vehicle detection task
$IoU$	intersection over union, Jaccard index
$IoU_{2D}$	2D bounding box $IoU$ in image space
$IoU_{BEV}$	2D BEV bounding box $IoU$ in world coordinates
$IoU_{3D}$	volumetric cuboid $IoU$ in world coordinates
$i$	gradient descent iteration count
$\square_I$	marks the use of image coordinates in [px]
$\eta$	road topology class weight
$j$	general counter index
$\mathbf{K}$	intrinsic camera calibration matrix
$\kappa$	class index, discrete category in classification problems
$l$	indicates the depth of a given neural network layer
$L(\square)$	optimization loss
$L_{L1}(\square)$	smooth L1 optimization loss

$L_{\text{nil}} (\square)$	negative log likelihood optimization loss, multi-class cross entropy
$L_{\text{Rec}} (\square)$	topology recognition task optimization loss
$L_{\text{Seg}} (\square)$	road segmentation task optimization loss
$L_{\text{Det}} (\square)$	vehicle detection task optimization loss
$L_{2\text{Dbox}} (\square)$	2D bounding box optimization loss
$L_q (\square)$	vehicle dimension ratio optimization loss
$L_{\text{total}}$	total optimization loss that combines all perception tasks
$L_\alpha (\square)$	observation angle optimization loss
$\lambda_{\text{MA}}$	moving average decay factor
$\lambda_{\text{LR}}$	learning rate decay factor
$mAP$	mean average precision, area under the <i>pre-rec</i> curve
$\square_\mu$	index denoting a per-sample average, micro average
$\square_M$	index denoting a per-class average, macro average
$N_P$	number of neurons in a neural network layer
$N_L$	number of layers in a neural network
$N_{\text{batch}}$	batch size
$N_\Theta$	number of all trainable model parameters
$N_{\text{train}}$	number of samples in the training dataset
$N_{\text{test}}$	number of samples in the test dataset
$N_{\text{val}}$	number of samples in the validation dataset
$N_k$	convolution kernel dimensions
$N_{\text{Det}}$	number of detected bounding boxes
$N_i$	total number of gradient descent iterations
$N_\kappa$	number of distinguished classes
$\mathbf{n}_C$	scene point position vector in camera coordinates
$\mathbf{n}_I$	scene point position vector in image coordinates
$\mathbf{n}_W$	scene point position vector in world coordinates
$\infty_W$	vanishing point to a given scene point in world coordinates
$\mathbf{n}_W^C$	centroid of a 3D bounding box in world coordinates
$\nu$	free parameter of the backprojection line in parametric form
$\mathbf{o}_C$	camera center in camera coordinates in [m]
$\mathbf{o}_W$	camera center in world coordinates in [m]
$\mathbf{o}_I = (o_{u_1}, o_{u_2})^\top$	principal point of the camera system
$\mathbf{P} = (\mathbf{p}_1^I, \mathbf{p}_2^I, \mathbf{p}_3^I, \mathbf{p}_4^I)^\top$ $= (\mathbf{p}_1^W, \mathbf{p}_2^W, \mathbf{p}_3^W)^\top$	camera projection matrix of size $3 \times 4$ and its column and row vectors
<i>pre</i>	precision, positive predictive value
<i>pre</i> <sub>interp</sub>	interpolated precision
$\psi$	yaw angle
$q$	integer multiple of $2\pi$ , e.g. $q \in 2\pi \cdot \mathbb{Z}$
$\mathbf{R}$	general rotation matrix of size $3 \times 3$
<i>rec</i>	recall, true positive rate
$\rho_\kappa$	segmentation class a-priori probability

$\rho$	aspect ratio of 3D bounding box width and length, e.g. $\rho = \frac{d_{x_2}}{d_{x_1}}$
$\rho_{\text{NMSE}}$	normalized mean squared error of the vehicle dimension ratio
$s$	stride, sliding window step size
$TP$	number of true positive samples
$TN$	number of true negative samples
$\mathbf{t}$	general translation vector
$\tau$	decision threshold
$\theta$	general notation of a trainable model parameter
$\Theta = \{\theta_1, \theta_2, \dots, \theta_{N_\Theta}\}$	entirety of all trainable model parameters
$\vartheta$	pitch angle
$\square$	accentuation for indicating the use of homogeneous coordinates
$\mathbf{u} = (u_1, u_2, u_3)^\top$	horizontal, vertical and feature channel dimension in image or feature map coordinates
$\mathbf{u}_{\text{mid}} = (u_{1,\text{mid}}, u_{2,\text{mid}})^\top$	2D bounding box midpoint
$v$	general counter index
$\text{vec}(\square)$	vectorization operator, converts a tensor into a column vector
$w, \mathbf{w}, \mathbf{W}$	weight scalar, weight vector, weight tensor
$\mathbf{W}_k$	convolution kernel
$w_k$	convolution kernel element
$w_{\text{Rec}}$	weight of the topology recognition task in the optimization loss
$w_{\text{Seg}}$	weight of the road segmentation task in the optimization loss
$w_{\text{Det}}$	weight of the vehicle detection task in the optimization loss
$\square_W$	marks the use of world coordinates in [m]
$x_1, x_2, x_3$	position in spatial world or camera coordinates in [m]
$\zeta$	road topology class occurrence frequency
$y, \mathbf{y}, \mathbf{Y}$	target value, target vector, target tensor
$y_{\text{mid}, u_1}, y_{\text{mid}, u_2}$	2D bounding box midpoint target variables
$y_w, y_h$	2D bounding box dimensions target variable
$\zeta$	gradient momentum weight factor
$\circ$	Hadamard product, element-wise product
$\lfloor \square \rfloor, \lceil \square \rceil$	floor and ceiling functions, Gauss brackets

### Abbreviations and acronyms

ACC	adaptive cruise control
ADAS	advanced driver assistance system
BEV	bird's-eye-view
BL	back left
BR	back right
CNN	convolutional neural network
CPU	central processing unit
CRF	conditional random field
CUDA	compute unified device architecture
FCN	fully convolutional network
FL	front left

FR	front right
GPU	graphics processing unit
ILSVRC	ImageNet large scale visual recognition challenge
InVerSiV	Intelligente Verkehrsinfrastruktur für sicheres vernetztes Fahren in der Megacity
IPM	inverse perspective mapping
KITTI	Karlsruhe Institute of Technology, Toyota Technological Institute
LIDAR	light detection and ranging
LLS	linear least squares
MAC	multiply-accumulate operation
ML	maximum likelihood
MLP	multi-layered perceptron
NAS	neural architecture search
NMSE	normalized mean squared error
px	pixel, image point
RELU	rectified linear unit
SGD	stochastic gradient descent
SSD	single shot detection
YOLO	<i>here</i> : you-only-look-once

# Abstract

This thesis investigates methods for traffic scene perception with monocular cameras as a foundation for a basic environment model in the context of automated vehicles. The developed approach is designed with special attention to the practical application in two experimental systems, which results in considerable computational limitations. For this purpose, three different scene representations are investigated. These consist of the prevalent road topology as the global scene context and the drivable road area, which are both associated with the static environment. In addition, the detection and spatial reconstruction of other road users is considered to account for the dynamic aspects of the environment. In order to cope with the computational constraints, an approach is developed that allows for the simultaneous perception of all environment representations based on multi-task convolutional neural networks.

For this purpose methods for the respective tasks are first developed independently and adapted to the special conditions of traffic scenes. Here, the recognition of the road topology is realized as general image recognition. Furthermore, the perception of the drivable road area is implemented as image segmentation. To this end, a general image segmentation approach is adapted to improve the incorporation of the a-priori class distribution present in traffic scenes. This is achieved through the inclusion of element-wise weight factors through the Hadamard product, which resulted in increased segmentation performance in the conducted experiments. Also, a task decoder for the perception of vehicles is designed based on a compact 2D bounding box detection method, which is extended by auxiliary regressands. These are used for an appearance-based estimation of the orientation and dimension ratio of detected vehicles. Together with a subsequent method for the reconstruction of spatial object parameters based on constraints derived from the backprojection into the image plane, a scene description with all measurements for a basic environment model and subsequent automated driving functions can be generated. From the examination of alternative multi-task approaches and considering the computational restrictions of the experimental systems, an integrated convolutional neural network architecture is implemented, which combines all perceptual tasks in a single end-to-end trainable model. In addition to the definition of the architecture, a strategy is developed in which alternated training of the perception tasks, changing with each iteration, enables simultaneous learning from several single-task datasets in one optimization process. On this basis, a final experimental evaluation is performed in which a systematic analysis of different task combinations is conducted. The obtained results clearly show the importance of a combined approach to the perception tasks for automotive applications. Thus, the experiments demonstrate that the integrated multi-task architecture for all relevant representations of the scene is indispensable for practical models on realistic embedded processing hardware. Regarding this, especially the existence of common, shareable image features for the perception of the individual scene representations, which are clearly evident from the results, is to be mentioned.

# Kurzfassung

Die Arbeit untersucht Wahrnehmungsmethoden mit monokularen Kameras für die Erzeugung eines grundlegenden Umfeldmodells im Kontext automatisierter Fahrzeuge. Der entwickelte Ansatz wird dabei mit Fokus auf die praktische Anwendung in zwei Versuchssystemen ausgelegt, woraus strikte Beschränkungen der rechentechnischen Ressourcen resultieren. Zu diesem Zweck werden drei verschiedene Szenenrepräsentationen untersucht. Diese bestehen aus der Straßentopologie als globalem Szenenkontext und dem befahrbaren Straßenbereich, welche beide dem statischen Umfeld zugerechnet werden. Darüber hinaus wird die Detektion und Rekonstruktion von anderen Verkehrsteilnehmern zur Berücksichtigung der dynamischen Umfeldanteile einbezogen. Um die rechentechnischen Einschränkungen zu berücksichtigen, wird ein Ansatz basierend auf Multi-task Convolutional Neural Networks entwickelt, welcher die gleichzeitige Wahrnehmung aller Umfeldrepräsentationen erlaubt.

Hierzu werden Ansätze für die Wahrnehmungsaufgaben unabhängig voneinander ausgearbeitet und an die Gegebenheiten von Verkehrsszenen angepasst. Die Erkennung der Straßentopologie wird dabei als allgemeine Bilderkennung realisiert. Darüber hinaus wird die Wahrnehmung des befahrbaren Straßenbereichs als Bildsegmentierung umgesetzt. Hierfür wird ein allgemeiner Ansatz zur Bildsegmentierung angepasst um eine stärkere Berücksichtigung der in Verkehrsszenen vorhandenen a-priori Klassenverteilung zu erzielen. Dies erfolgt durch elementweise Gewichtungsfaktoren mittels des Hadamard Produkts, was im Experiment zu einer gesteigerten Segmentierungsgüte führte. Ebenso wird zur Wahrnehmung anderer Fahrzeuge ein Verfahren zur Detektion von 2D Bounding Boxen um zusätzliche Hilfsregressanden erweitert. Diese dienen zur Erscheinungs-basierten Schätzung der Dimensionen sowie der Orientierung detektierter Objekte. Zusammen mit einer Rekonstruktion der räumlichen Parameter durch aus der Rückprojektion in die Bildebene abgeleitete Zwangsbedingungen kann eine für nachfolgende Fahrfunktionen geeignete Objektbeschreibung erzeugt werden. Weiterhin erfolgt, hergeleitet aus der Betrachtung alternativer Multi-task Ansätze und unter Berücksichtigung der rechentechnischen Beschränkungen, die Integration in ein Convolutional Neural Network welches alle Wahrnehmungsaufgaben kombiniert. Zudem wird eine alternierende Trainingsstrategie vorgestellt, welche durch mit jeder Iteration wechselnde Wahrnehmungsaufgaben das simultane Anlernen von mehreren Single-task Datensätzen ermöglicht. Auf dieser Grundlage erfolgt eine abschließende Evaluation, bei welcher eine systematische Untersuchung verschiedener Aufgabenkombinationen erfolgt. Die erzielten Ergebnisse zeigen klar die Bedeutung einer kombinierten Betrachtung der Wahrnehmungsaufgaben für eine Anwendung in der Fahrzeugtechnik auf. So ergibt sich in Hinsicht auf die betrachteten Versuchssysteme, dass eine integrierte Wahrnehmung aller Szenenrepräsentationen für praxistaugliche Modelle unabdingbar ist. In diesem Zusammenhang ist besonders das aus den Ergebnissen ersichtliche Vorhandensein gemeinsamer, mehrfach nutzbarer Bildmerkmale für die Wahrnehmung der einzelnen Szenenrepräsentationen zu nennen.



*To my family.*

