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Dipl.-Ing. Arne Ehlers,  
Hannover

## Object Detection using Feature Mining in a Distributed Machine Learning Framework



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# **Object Detection using Feature Mining in a Distributed Machine Learning Framework**

Von der Fakultät für Elektrotechnik und Informatik  
der Gottfried Wilhelm Leibniz Universität Hannover  
zur Erlangung des akademischen Grades

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Secondly, feature mining strategies are introduced to create feature sets that are customized to the object class to be detected. Furthermore, a novel class of fractal features is proposed that allows to represent a wide variety of shapes.

Thirdly, a method is introduced that models and combines internal confidences and uncertainties of the cascaded detector using Dempster's theory of evidence in order to increase the quality of the post-processing.

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# Abbreviations

1D	one dimensional
2D	two dimensional
3D	three dimensional
AdaBoost	Adaptive Boosting
ADAS	Advanced Driver Assistance Systems
AEB	Autonomous Emergency Braking
Bagging	Bootstrap Aggregating
CNN	Convolutional Neural Network
DS	Dempster-Shafer Theory of Evidence
Euro NCAP	European New Car Assessment Programme
<b>F<sub>1</sub></b>	F-measure
FP	False Positive
FPGA	Field-Programmable Gate Array
FPPI	False Positives Per Image
FPPW	False Positives Per Window
FPR	False Positive Rate
GMM	Gaussian Mixture Model
HCI	Human-Computer Interaction
HMM	Hidden Markov Model
HOG	Histograms of Oriented Gradients
ICA	Independent Component Analysis
KPCA	Kernel Principal Component Analysis
KPHOG	Keypoint HOG
L-System	Lindenmayer System
MPI	Message Passing Interface
NMS	Non-Maximum Suppression

OpenCV	Open Source Computer Vision library
OpenMP	Open Multi-Processing
PCA	Principal Component Analysis
ROC	Receiver Operating Characteristic
SIFT	Scale-Invariant Feature Transform
SLIC	Simple Linear Iterative Clustering
SMD	Surface-Mount Device
SVD	Singular Value Decomposition
SVM	Support Vector Machine
TNR	True Negative Rate
TP	True Positive
TPR	True Positive Rate

---

# Symbols and Notation

## General Symbols

$p$	probability
$\mathbf{x}$	training sample
$y$	label for a training sample
$I$	image matrix
$\mu_I$	arithmetic mean (pixel) of an image $I$
$N_{\text{Pixel}}$	number of pixels in an image or detection window
$u, v$	image coordinates
$X$	random variable
$\text{Var}(X)$	variance of random variable $X$
$E(X)$	expected value of random variable $X$
$i, j, n, r, s$	control variables
$N_{\text{TP}}$	number of true positive classifications
$N_{\text{FP}}$	number of false positive classifications
$N_{\text{P}}$	number of positives in test set
$N_{\text{N}}$	number of negatives in test set

## Symbols for Adaptive Boosting

$\mathcal{S}$	set of training examples
$N_{\mathcal{S}}$	number of training examples
$\mathcal{X}$	set of training samples
$\mathcal{Y}$	set of possible training labels
$N_R$	number of training rounds or weak classifiers
$W$	distribution over the training set $\mathcal{S}$
$h$	weak hypothesis or weak classifier
$\epsilon_r$	training error in round $r$
$\beta_r$	mapping factor for round $r$
$H$	strong hypothesis
$J$	loss function

### Symbols for Viola and Jones Learning Scheme

$\gamma$	feature
$\rho$	polarity of a weak classifier
$\theta$	decision threshold of weak classifier
$N_{\mathcal{P}}$	number of positive training examples
$N_{\mathcal{N}}$	number of negative training examples
$w$	weight of training example
$e_i$	indicator function for classification of $i$ -th training example
$\sum_{r=1}^{N_R} \alpha_r h_r(\mathbf{x})$	linear combination
$\alpha_r$	weighting factor for weak classifier of round $r$
$\mathcal{P}$	set of positive training examples
$\mathcal{N}$	set of negative training examples
$w_{r,\mathcal{P}}$	sum of weights of positive training set $\mathcal{P}$ in round $r$
$w_{r,\mathcal{N}}$	sum of weights of positive training set $\mathcal{N}$ in round $r$
$\hat{w}_{r,\mathcal{P}}$	sum of positive example weights before in sorted list including the current example in round $r$
$\hat{w}_{r,\mathcal{N}}$	sum of negative example weights before in sorted list including the current example in round $r$

### Symbols for Viola and Jones Cascade Scheme

$t$	minimum acceptable true positive rate or detection rate per stage
$T$	true positive rate or detection rate of a cascaded classifier
$f$	maximum acceptable false positive rate per stage
$F$	false positive rate of a cascaded classifier
$F_{\text{Target}}$	target false positive rate of a cascaded classifier
$\tau$	decision threshold of strong classifier
$N_S$	number of stages in a cascaded classifier
$N_{Rs}$	number of weak classifiers in $s$ -th cascade stage
$h_{rs}$	weak classifier of round $r$ in $s$ -th cascade stage
$H_s$	strong classifier or ensemble of weak classifiers in $s$ -th cascade stage
$\alpha_{rs}$	weighting factor for $r$ -th weak classifier in $s$ -th cascade stage
$\tau_s$	decision threshold of the strong classifier or ensemble of weak classifiers in $s$ -th cascade stage

### Symbols for Learning from Sparse Training Data

$\Psi$	mean of the training samples
$\bar{\mathbf{x}}$	difference vector of samples to mean training data $\Psi$

---

$\bar{X}$	zero-mean data matrix of shifted training samples $\bar{\mathbf{x}}$
$C$	covariance matrix
$\phi$	non-linear transformation into a higher dimensional space
$k$	kernel function $k(\mathbf{x}_i, \mathbf{x}_j) = \langle \phi(\mathbf{x}_i), \phi(\mathbf{x}_j) \rangle$
$\lambda$	parameter controlling strength of projection into PCA-space
$U$	matrix of left-singular vectors
$\Sigma$	diagonal matrix of singular values
$V$	matrix of right-singular vectors
$\Lambda$	negative training example to be projected

### Symbols for Fractal Integral Paths

$\mathcal{G} = (\mathcal{V}, \omega, \mathcal{R})$	grammar defining a Lindenmayer system
$\mathcal{V}$	alphabet of a Lindenmayer system
$\omega$	string of symbols from $\mathcal{V}$ defining the initial state
$\mathcal{R}$	set of production rules
$X, Y, F, +, -$	symbols used in alphabet $\mathcal{V}$

### Symbols for Dempster-Shafer Theory of Evidence

$\emptyset$	empty set
$\Omega$	frame of discernment or hypotheses set
$\wp(\Omega)$	power set
$A$	hypothesis, $A \in \wp(\Omega)$
$m$	mass function
$\otimes$	Dempster's rule of combination

### Symbols for Non-Maximum Suppression using Evidence Theory

$D_i$	$i$ -th candidate detection
$\iota, \kappa$	scale in $u$ and $v$ -dimension
$D'_j$	refined merged detection for $j$ -th cluster $L_j$ of candidate detections
$\mathcal{L}$	partition of the set of candidate detections
$L$	subset or cluster of candidate detections
$\Gamma_j$	confidence of $j$ -th detection cluster

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# Abstract

An important task in visual recognition systems, aiming on the extraction and interpretation of information in images or videos, is the detection of objects. In this process, all instances of a specified object class are requested to be localized in the visual input data. Object detection is essential for many applications that require a more comprehensive scene understanding, like advanced driver assistance systems or self-driving cars. The utilized object detectors are often created by machine learning algorithms that follow the paradigm of learning from examples. In a computational expensive training process, the algorithms learn the characteristics and visual appearance of the object class from training examples but the created detector has to work very fast and efficiently. Frequently, the object characteristics are not directly extracted from the observations but from a feature representation of the input data that gives a guiding principle on how to identify distinctive structures.

This thesis addresses the problem of visual object detection based on machine-learned classifiers. A distributed machine learning framework is developed to learn detectors for several object classes creating cascaded ensemble classifiers by the Adaptive Boosting algorithm. Methods are proposed that enhance several components of an object detection framework to improve its performance:

At first, the thesis deals with augmenting the training data in order to improve the performance of object detectors learned from sparse training sets. This problem frequently arises in industrial applications when highly specialized detectors are learned for e.g. quality assurance.

Secondly, methods are proposed to enhance the feature set that is utilized in the detector learning and its application. Feature mining strategies are introduced in order to create feature sets that are customized to the object class to be detected. By adapting to distinctive object structures, more representative features are assembled in a set of manageable size that enables an efficient detector learning. Furthermore, a novel class of fractal features is proposed that allows to represent a wide variety of shapes.

Thirdly, improvements are proposed to the post-processing that is performed after applying the learned detector to further work up its output. Commonly, this involves the assignment of confidences, merging detections that are very close to each other and dropping detections having low confidence. A method is introduced that models and combines internal confidences and uncertainties of the cascaded detector using Dempster's theory of evidence in order to increase the quality of the post-processing.

**Keywords:** Object Detection, Feature Mining, Fractal Features, Data Augmentation, Machine Learning, Adaptive Boosting, Distributed Computing

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## Kurzfassung

Die Objektdetektion ist eine wichtige Teilaufgabe im maschinellen Sehen, welches die Extraktion von Informationen aus Bildern oder Videos und deren Interpretation zum Ziel hat. Hierbei sollen sämtliche Instanzen einer Objektklasse in den visuellen Eingangsdaten lokalisiert werden. Die Detektion von Objekten ist eine elementare Voraussetzung für weitergehende Verfahren wie Fahrerassistenzsysteme oder selbstfahrende Autos, die eine umfassendere Wahrnehmung ihrer Umgebung erfordern. Die eingesetzten Objektdetektoren sind häufig durch maschinelle Lernalgorithmen erstellt worden, die dem Paradigma des Lernens anhand von Beispielen folgen. Der Algorithmus lernt hierbei in einem rechenintensiven Trainingsprozess das charakteristische Aussehen der Objektklasse anhand von Trainingsbeispielen. Der erstellte Detektor hingegen muss sehr schnell und effizient arbeiten. Häufig werden die Objektcharakteristiken nicht direkt aus den wahrgenommenen Eingangsdaten sondern aus einer Merkmalsdarstellung extrahiert, die Richtlinien zur Identifizierung markanter Strukturen vorgibt.

Diese Dissertation befasst sich mit der visuellen Objektdetektion durch maschinell gelernte Klassifikatoren. Ein verteiltes maschinelles Lernsystem ist entwickelt worden, um mit Hilfe des Adaptive Boosting Algorithmus Ensemble-Klassifikatoren für unterschiedliche Objektklassen anzulernen. Es werden Verfahren zur Verbesserung verschiedener Komponenten eines Objektdetektionssystems vorgestellt, um die Detektionsleistung des Gesamtsystems zu erhöhen:

Als Erstes beschäftigt sich diese Arbeit mit der Anreicherung der Trainingsdaten, um die Leistung von Detektoren zu steigern, welche auf kleinen Trainingsmengen angelernt werden. Diese Problematik tritt häufiger bei industriellen Anwendungen auf, wenn hoch spezialisierte Detektoren beispielsweise für die Qualitätssicherung erstellt werden sollen.

Der zweite Beitrag der Dissertation stellt Verfahren zur Verbesserung der Merkmalsmengen vor, die beim Anlernen eines Detektors und während der Detektion genutzt werden. Es werden Methoden zur gezielten Generierung von Merkmalsmengen entwickelt. Hierdurch können die Merkmalsmengen an die Charakteristiken der zu detektierenden Objektklasse angepasst werden, sodass eine Menge von aussagekräftigeren Merkmalen entsteht, die gleichzeitig überschaubar ist und somit ein effizientes Anlernen erlaubt. Weiterhin wird eine neue Klasse von Fraktalmerkmalen vorgestellt, die vielfältige Strukturen repräsentieren kann.

Drittens werden Verbesserungen für die Detektionsnachverarbeitung entwickelt. Üblicherweise werden den Detektionen in diesem Schritt Konfidenzen zugewiesen, nah beieinander gelegene Detektionen verschmolzen und Detektionen mit niedriger Konfidenz verworfen. Ein Verfahren wird vorgestellt, dass interne Konfidenzen und Unsicherheiten der Detektorkaskade mit Hilfe der Evidenztheorie modelliert und kombiniert, um die Qualität der Nachverarbeitung zu erhöhen.

**Stichworte:** Objektdetektion, Merkmalsextraktion, Fraktalmerkmale, Datenaugmentation, Maschinelles Lernen, Adaptive Boosting, Verteiltes Rechnen

